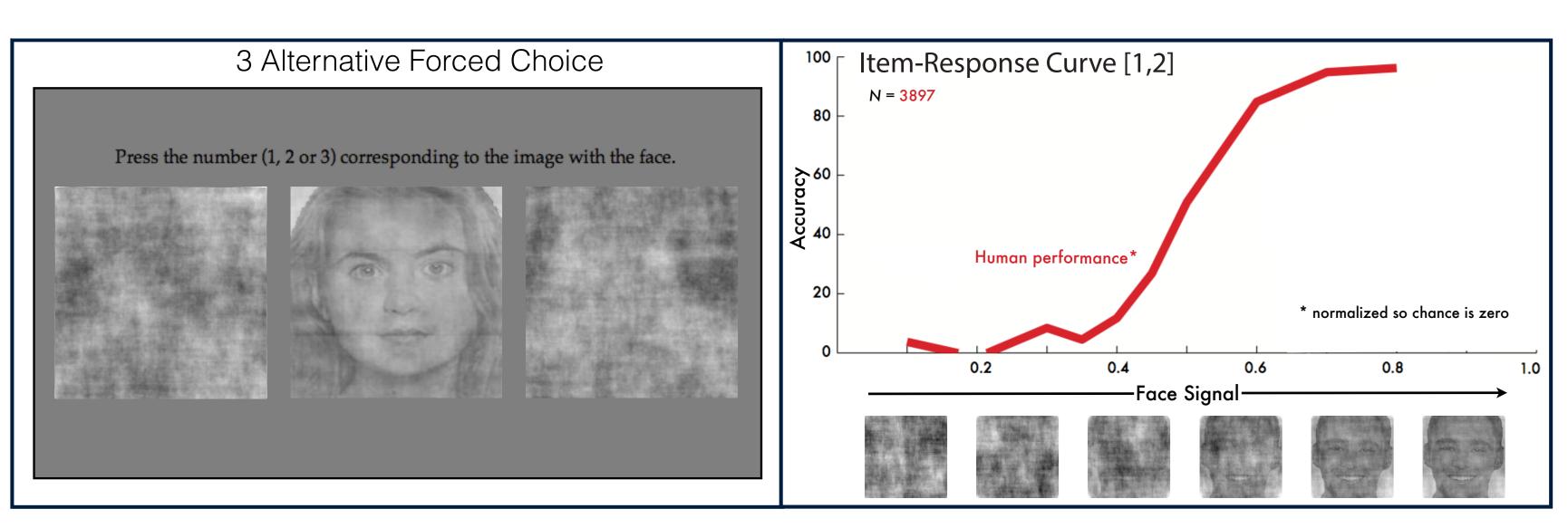


# Motivation

In computer vision, procedures for understanding how a target model perceives a face have largely been in the form of dataset evaluation for recognition tasks where summary statistics are used to measure progress. While aggregate performance has continued to improve, understanding individual causes of failure has been difficult, as it is not always clear why a particular face fails to be recognized, or why an impostor is recognized by an algorithm. Importantly, other fields studying vision have addressed this via the use of visual psychophysics: the controlled manipulation of stimuli and careful study of the responses they evoke in a subject. We suggest that visual psychophysics is a viable methodology for making face recognition algorithms more explainable.

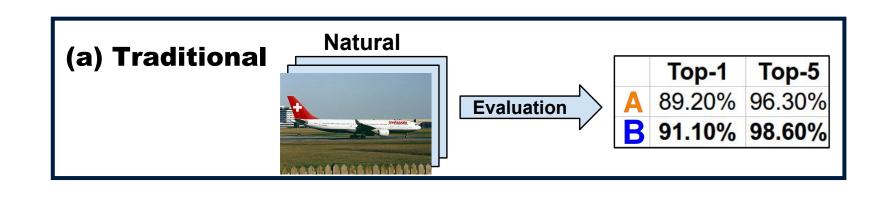
# Visual Psychophysics

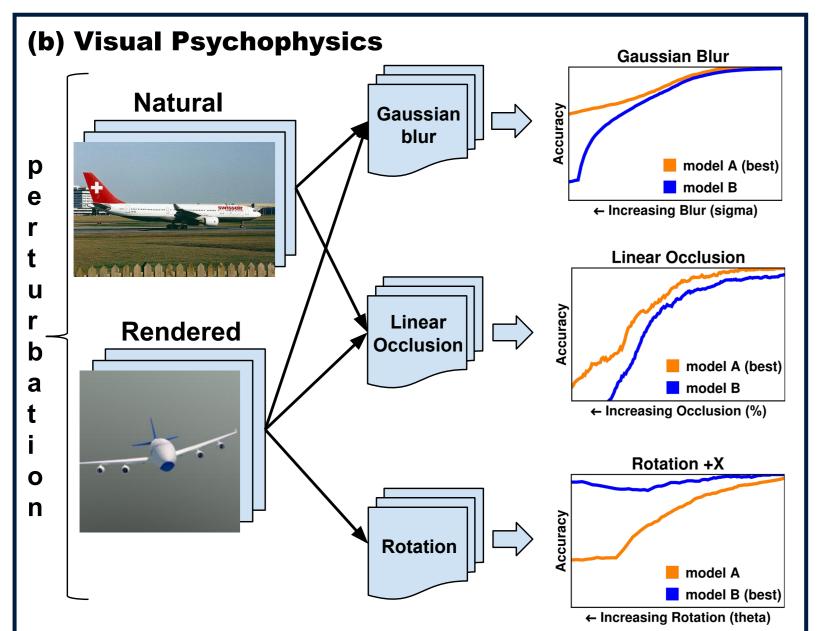
- A question is asked or a task present- Multiple subjects responses are aged to a subject
- Subject gives response
- Response, response time, and other characteristics are recorded
- Subject repeats task at different stim- ception can be identified ulus levels
- gregated together
- Represents the relationship between the population's response and stimuli
- Precise point of failure in visual per-



# **PsyPhy Framework**

- Large-scale psychophysics evaluation framework for computer vision [3]
- Built-in object and facial recognition modules
- 10+ built-in transformation functions
- Highly modular, easily extensible







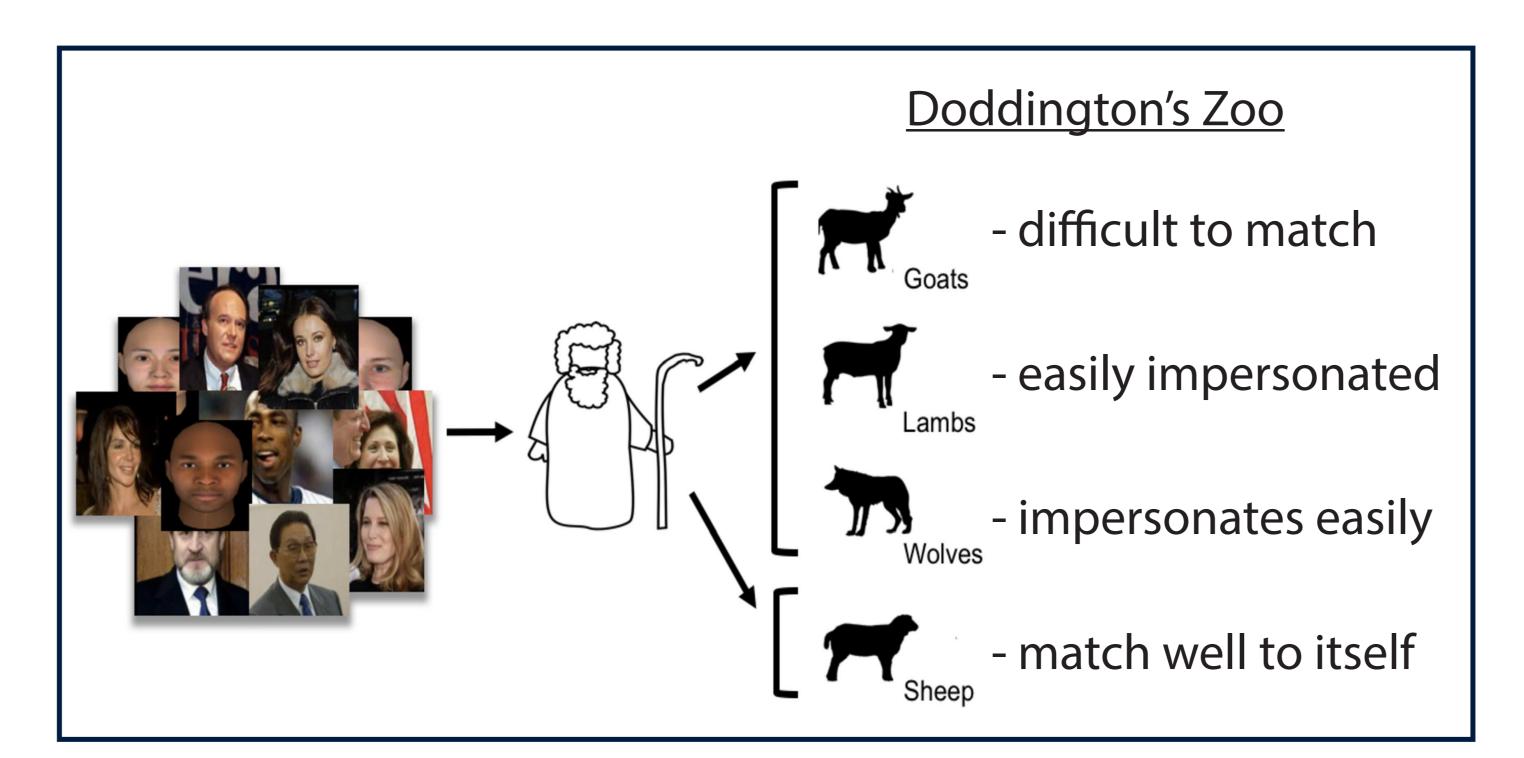
# Visual Psychophysics for Making Face Recognition Algorithms More Explainable

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# Procedures .<u>"Herding"</u>

In the process of herding, a shepherd function determines from a set of identities which are 2<sup>nd</sup> order sheep within the context of Doddington's Zoo [4], ensuring that the transformation was the reason for a decrease in performance.



### The procedure to remove goats, lambs, and wolves:

 $H(\Upsilon, I)$ : a "herding" function to isolate Doddington et al.'s sheep from the goats, ambs. and wolves **Input:**  $\Upsilon$ , a "shepherd" function for a face recognition algorithm **Input:** *I*, a set of input identities from a dataset  $: S \leftarrow \Upsilon(I, I)$ 2:  $S \leftarrow \frac{(S+S^{\intercal})}{2}$ 3:  $t_h \leftarrow \text{optimize loss function } \lambda \text{ with TPE}$ 4:  $I_h \leftarrow \lambda(S, t_h)$ **Output:**  $t_h$ , the optimal threshold to produce  $I_h$ **Output:**  $I_h$ , the "sheep" identities isolated by the optimal threshold  $t_h$ 

## 2. <u>"Shepherding"</u>

A shepherd function is wrapper function to the face recognition algorithm to be evaluated. A definition of a shepherd function:



 $\Upsilon_f(I_p, I_q)$ : a "shepherd" function that produces a similarity matrix for the face recognition function f

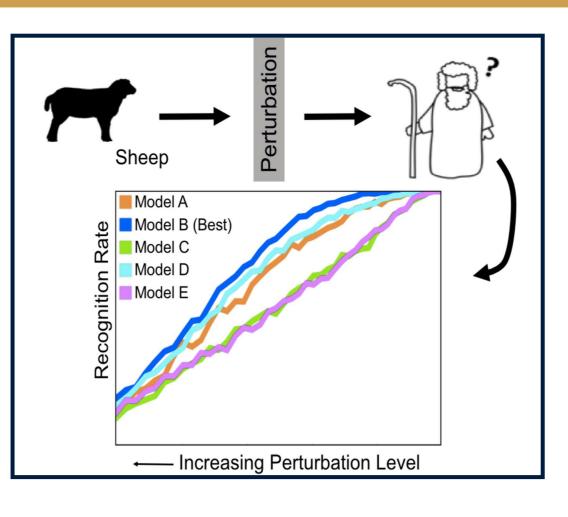
**Input:** f, a face recognition function that produces a feature representation **Input:**  $I_p$ , a set of probe identities **Input:**  $I_g$ , a set of gallery identities  $R_p \leftarrow i \in I_p : f(i)$ 2:  $R_g \leftarrow i \in I_g : f(i)$ 3:  $S \leftarrow r_p \in R_p, r_g \in R_g : \operatorname{dist}(r_p, r_g)$ 

4:  $S \leftarrow \operatorname{normalize}(S)$ **Output:** S, the similarity matrix

<sup>2</sup>Perceptive Automata, Inc.

### 3. Item-response curve generation

Use a transformation function (e.g., Gaussian blur, occlusion, noise) to perturb the input sheep stimuli, and measure the match rate as the response.



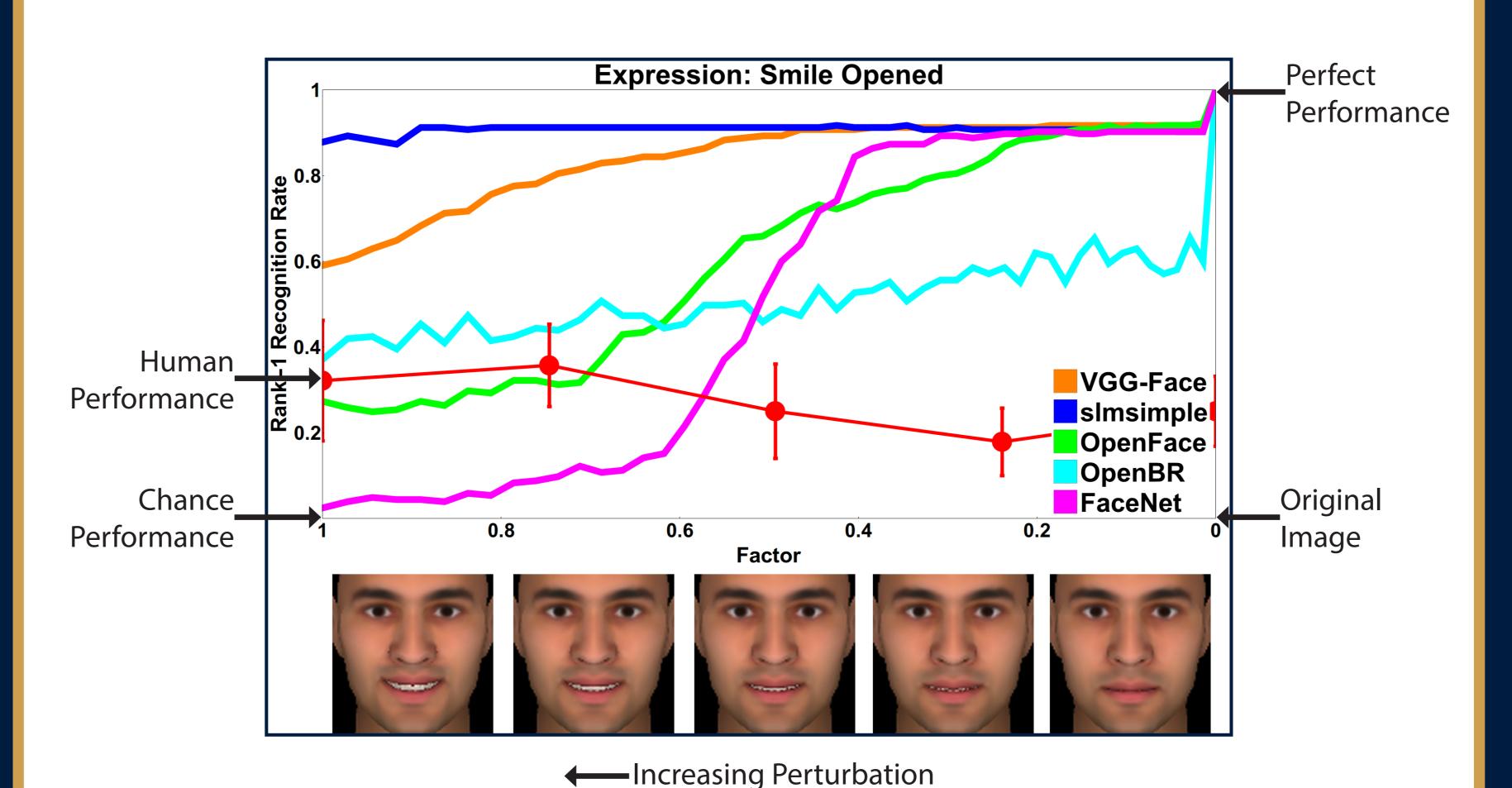
To generate an item-response curve:

 $\Phi_T(\Upsilon, I_h, t_h, \delta)$ : an item-response point generation function for any image transformation function  $T(i, \delta)$ **Input:**  $\Upsilon$ , a "shepherd" function for a facial recognition model **Input:**  $I_h$ , the "sheep" identities for the found threshold  $t_h$ **Input:**  $t_h$ , the optimal threshold to produce  $I_h$ **Input:**  $\delta$ , the stimulus level  $I'_h \leftarrow i \in I_h : T(i, \delta)$  $S \leftarrow \Upsilon(I'_h, I_h)$  $M \leftarrow S > t_h$ 1:  $\alpha \leftarrow \frac{|M \wedge \mathcal{I}|}{|X|}$ **Output:**  $\{s, \alpha\}$ , an x, y coordinate pair (stimulus level, match rate)

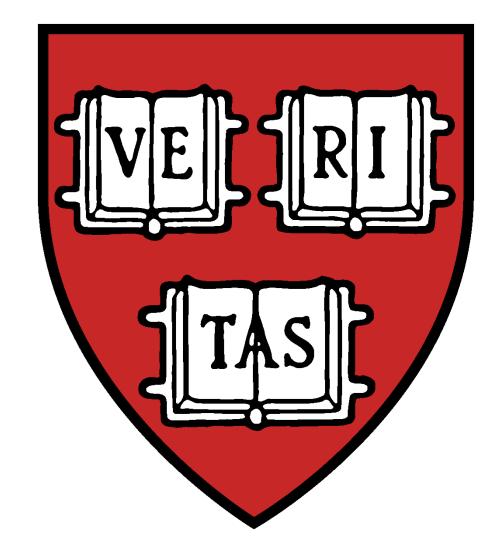
# Experiments

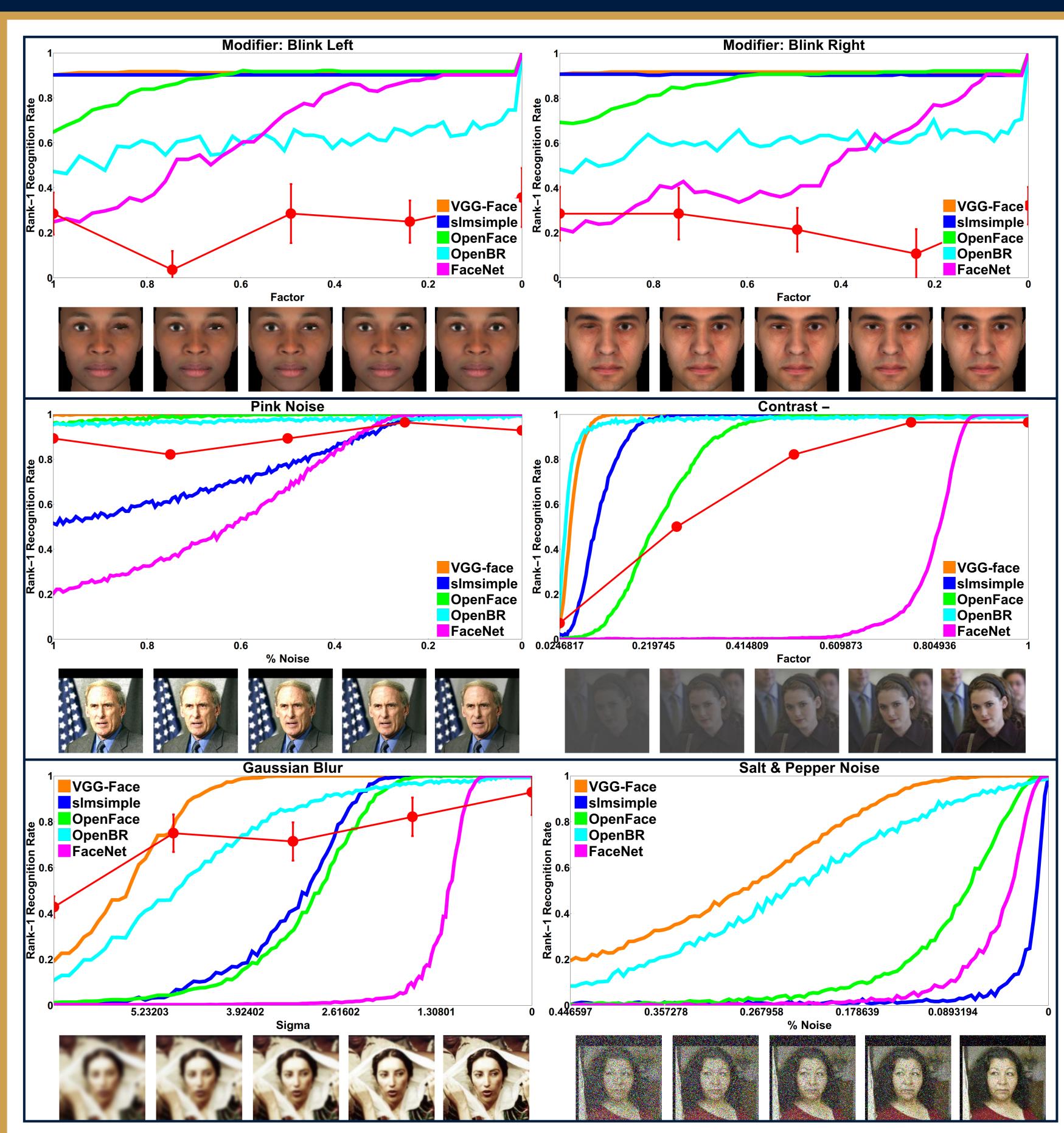
We generated item-response curves using data from the LFW dataset and rendered FaceGen models. The images at the bottom of each curve show how the perturbations increase from right to left, starting with no perturbation.

	Identities	Sheep	Transformations	Levels	Images	Comparisons
LFW	1000	1000	14	200	~5.5 mil	~13.6 bil
FaceGen	220	206	22	50	~400,000	~17.5 bil



# PERCEPTIVE AUTÓMATA





# Interesting Observations

- OpenBR handcrafted features are not the worst performing approach
- "slmsimple", a shallow network with random weights, performs on par with VGG-Face for FaceGen experiments
- FaceNet and OpenFace exhibit radically different behavior despite both reporting to be implementations of Google's FaceNet [5]

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