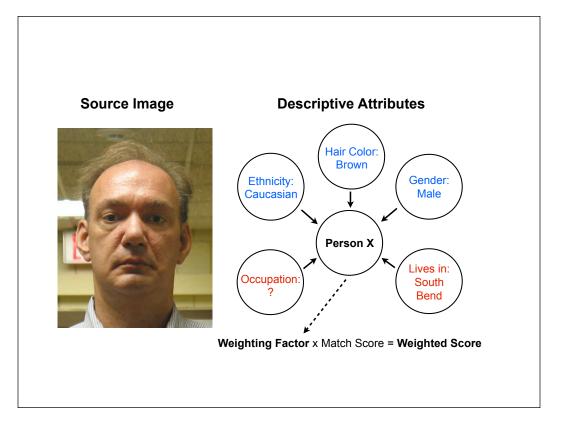


# How well does unconstrained face identification work?

- Consider a top performing algorithm on the LFW set:VI-like features
  - Verification Rate<sup>1</sup>: 79.35%
  - Rank-1 Rate on a subset<sup>2</sup>: 41.64%
- What about very large populations?
  - UID controlled identification scenario for deduplication<sup>3</sup>: 600 Million enrollments calls for two modality fusion
    - ▶ Is this even enough? Time will tell...
- What kind of performance can we expect from a face algorithm operating in the real world?

I. http://vis-www.cs.umass.edu/lfw/results.html

2. A Sapkota et al., "FACE-GRAB: Face Recognition with General Region Assigned to Binary Operator," IEEE Computer Society Workshop on Biometrics, 2010 3. R. Mashruwala and S. Prabhakar, "Multi-Modal Biometrics for One Billion People," Presentation at the IEEE Computer Society Workshop on Biometrics, 2010



#### **Contextual Attributes**

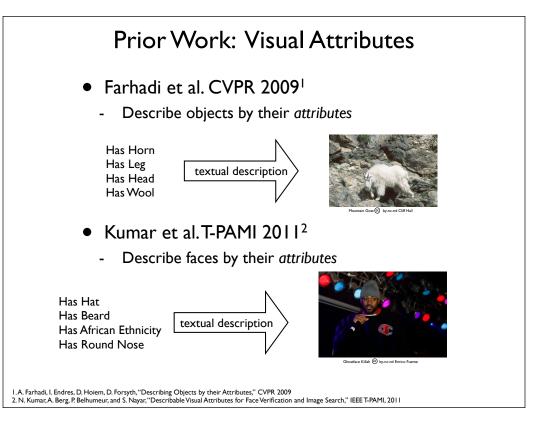
- In many circumstances, contextual attributes are easy to obtain
- Consider a banking application designed to detect fraud
  - A user banks at Branch I 95% of the time
  - The same user Banks in Region I 100% of the time
  - Camera at ATM or teller's station captures face



Bank of America Logo 😨 by Neuble

Account is accessed at Branch 5 in Region 8 & recorded face does not match enrolled face: Fraud Detected

Image by Neubie "Bank of America Logo" BY <u>http://</u> <u>www.davidneubert.com/</u>



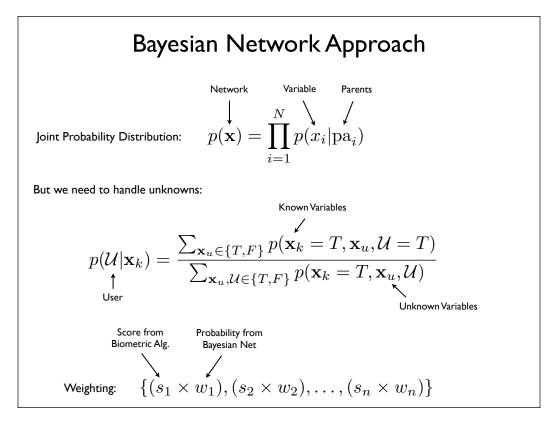
# Top Image by Cliff Hall "Mountain Goat" BY-NC-ND <u>http://</u> www.flickr.com/photos/cliffhall/303337039/in/photostream/

Bottom Image by Enrico Fuente "Ghostface Killah" BY-NC-ND <u>http://</u> www.flickr.com/photos/okobojierik/5156583220/in/photostream/

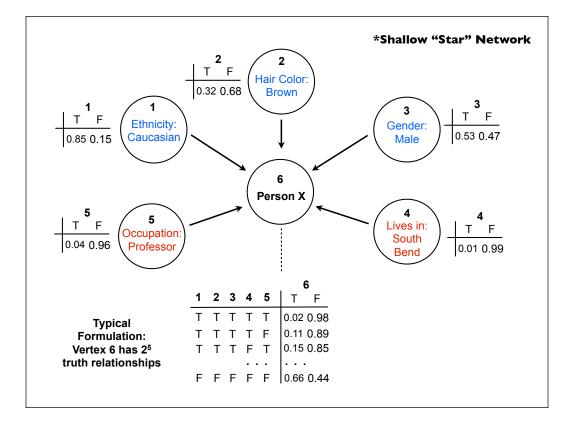
# Prior Work: Combining Disparate Information

- Bayesian Weightings and Networks
  - Well-known approach in the traditional security & intelligence domains
    - Wright et al. 2002<sup>1</sup>: military domain and doctrinal expertise
    - ▶ Laskey et al. 2004<sup>2</sup>: anomaly detection for document control
  - Bayesian weighting for biometrics
    - Jain et al. 2004<sup>3</sup>: "Soft Biometrics" + Traditional Biometric Matching
      - \* Information beyond soft biometrics?
      - \* Unknown variables?
      - \* Exponential growth of probability assignments?

I. E.Wright et al., "Hulti-entity Bayesian Networks for Situation Assessment," 5th Intl. Conf. on Information Fusion, 2002 2. K. Laskey et al., "Detecting Threatening Behavior Using Bayesian Networks," Conf. on Behavioral Representation in Modeling and Simulation, 2004 3. A. jain et al., "Soft Biometric Traits for Personal Recognition Systems," Ind. Conf. on Biometric Authentication, 2004



Note: There is a mistake in Eq. 2 of the paper; it should take the form of the middle Eq. in this slide.



# Noisy-OR Approximation

- Two Problems with the Traditional Formulation:
  - It is not practical to assign all of the truth values by hand
    - $\blacktriangleright$  2<sup>5</sup> = 32 assignments; 2<sup>6</sup> = 64 assignments...
  - For many descriptive attributes, the causal interaction model is illogical
    Example: Brown hair is independent of employment as a professor
- Solution: decouple attributes from one another

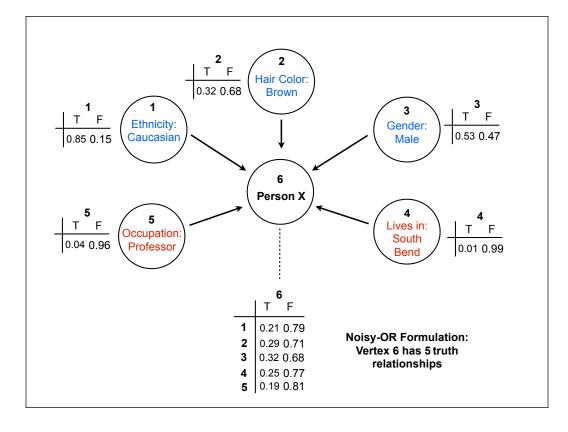
Product of Probabilities:

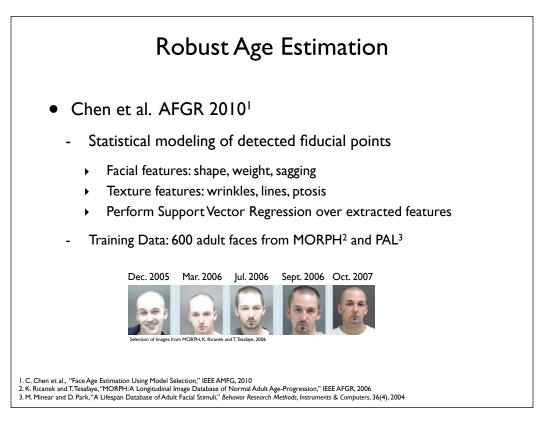
$$p(\mathcal{U}|\mathbf{x}_k) = 1 - \prod_{i=1}^n (1 - p_i)$$

where

$$p_i = p(\mathcal{U} = T | x_i = T, \{x_j = F\}_{j=1, j \neq i}^n)$$

Known or Unknown



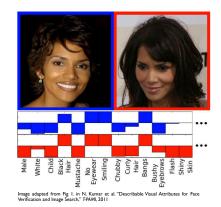


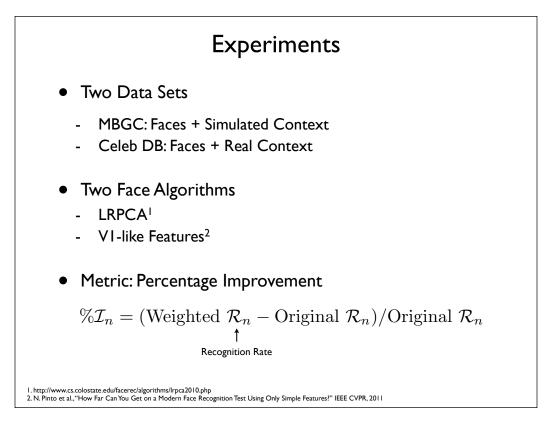
#### Visual Facial Attributes

- Kumar et al. 2011
  - Low-level simple features + machine learning
    - Feature extractors are composed of pixels from face region, pixel feature type, normalization and aggregation
    - From an aligned image *I*, extract low level features:

$$\mathcal{F}(I) = \{\mathbf{f}_1(I), \dots, \mathbf{f}_k(I)\}$$

- In total, we trained **73** different SVM attributes classifiers
- Crowdsourced ground truth labeling; 500-2000 +/- examples from the Columbia Face Database



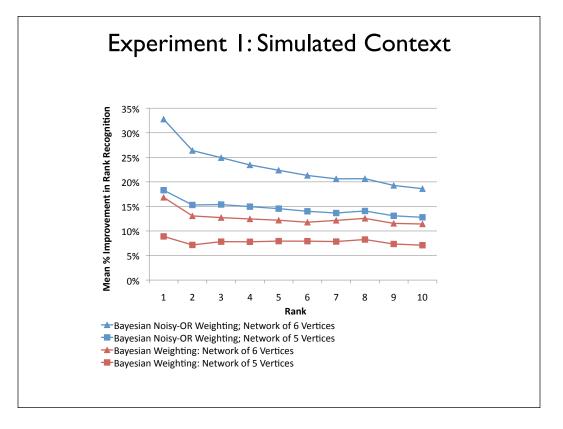


Visual Attrs. &	Accuracy	Contextua	Attrs.
Age (+/- 7 years); 89.9	%	Lives in city $X$	
Gender; 86.7%	,	Works as X	
Eyeglasses; 96.6%	,	Works at X	
Weight: Chubby; 87.8%	6	Has <i>n</i> children	
Eyebrows: Bushy; 88.25	%	Is the mother of $X$	
Hair Color: Black; 92.3	3%	Is the brother of $X$	
Hair Color: Brown; 86	.5%	Frequents bank $X$	
Ethnicity: Asian; 94.6%		Owns a car	
Ethnicity: African; 97.4	%	Attends school $X$	
Ethnicity: European; 87	.1%	Graduated in $X$	
	F.		
Gender: Male	Gender: F		Gender: Male
Ethnicity: Asian Hair <sup>.</sup> Black	Ethnicity: Eu Hair: Bro		Ethnicity: African
Fight Bigon	Not Wearing E	• • • • • •	Eyebrows: Bushy Weight: Skinny
mated Age: 28	Estimated A		Estimated Age: 34

#### **Experiment I: Simulated Context**

- LRPCA & MBGC\*: 466 unique people; 217, 156 scores
- Two network sizes: 5 & 6 vertices
- 10 different combinations of attributes were chosen for each experiment
- Each attribute is used at least once
- Consistency between the typical and Noisy-OR formulations
- All visual attributes are generated from the source probe and enrollment images
- The set of observations from the probe is left incomplete: I variable from the enrollment network is always unknown

\*P. Phillips et al., "Overview of the Multiple Biometrics Grand Challenge," ICB, 2009



# Experiment I: Simulated Context

Summary of mean rank-I accuracies ( $\overline{\mathcal{R}}_i$ ) and mean percentage improvement ( $\%\overline{I_i}$ ) for LRPCA weighting

Experiment	Vertex Count	<b>CPT</b> Entries	$\overline{\mathcal{R}}_{i}$	$\%\overline{I}_{1}$
Baseline LRPCA	-	-	65.9%	-
Bayesian Weighting	5	20	71.7%	8.9%
Bayesian Weighting	6	37	77.0%	18.8%
Bayesian Noisy-OR Weighting	5	9	77.9%	18.3%
Bayesian Noisy-OR Weighting	6	10	87.5%	32.8%

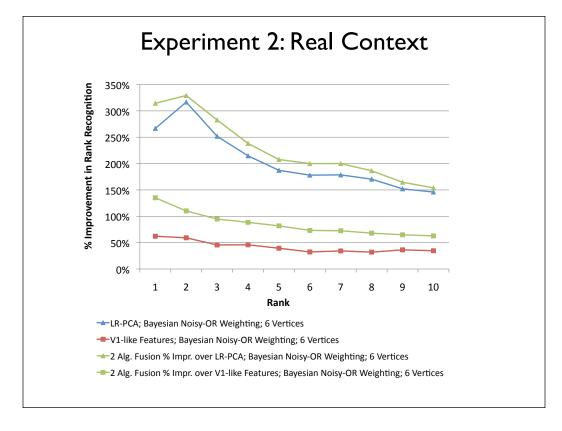
#### **Experiment 2: Real Context**

- Celeb DB<sup>1</sup>: Face Image + Biographies of Celebrities
  - We chose 167 unique people
- LRPCA, VI-like features, and w-score<sup>2</sup> fusion of both algorithms
- Attributes: Eyeglasses, Gender, Bushy Eyebrows, "Known Associates", First Film
- All visual attributes are generated from the source probe and enrollment images
- The set of observations from the probe is left incomplete: I variable from the enrollment network is always unknown

I. Bolme et al., "Person Identification Using Text and Image Data," IEEE BTAS, 2007 2. Scheirer et al., "Robust Fusion: Extreme Value Theory for Recognition Score Normalization," ECCV, 2010



Known Associates: James Cagney, Lauren Bacall, Edward G. Robinson Bette Davis, Peter Lorre



# Conclusions

- Tools for effective identity centric Bayesian Nets
  - Non-image context
  - Descriptive visual attributes
  - Shallow "star" network
  - Noisy-OR approximation
- Areas for future work
  - Enhance and expand visual attributes
  - Multiplicative score weighting is likely not the best strategy
    - Apply weight at the sensor or feature level
    - Combine with a probabilistic normalization approach (w-score)

